

# Dynamical Gauge Symmetry Breaking on Compactified Space

Tatsu MISUMI      BNL

Kashiwa, TM, [arXiv:1302.2196].

Kouno, TM, Kashiwa, Makiyama, Sasaki, Yahiro, in preparation.

02/28/2013@BNL

# Dynamical Gauge Symmetry Breaking - DGSB

GUT ?

DGBS at GUT scale

$SU(3)_C \times SU(2)_L \times U(1)_Y$

DGBS at EW scale

$SU(3)_C \times U(1)_{EM}$

⋮  
CSC  
↓

⋮  
SC  
↓

DGBS at extreme cond.

*Understanding of DGSB : Key in Modern Physics Frontier*

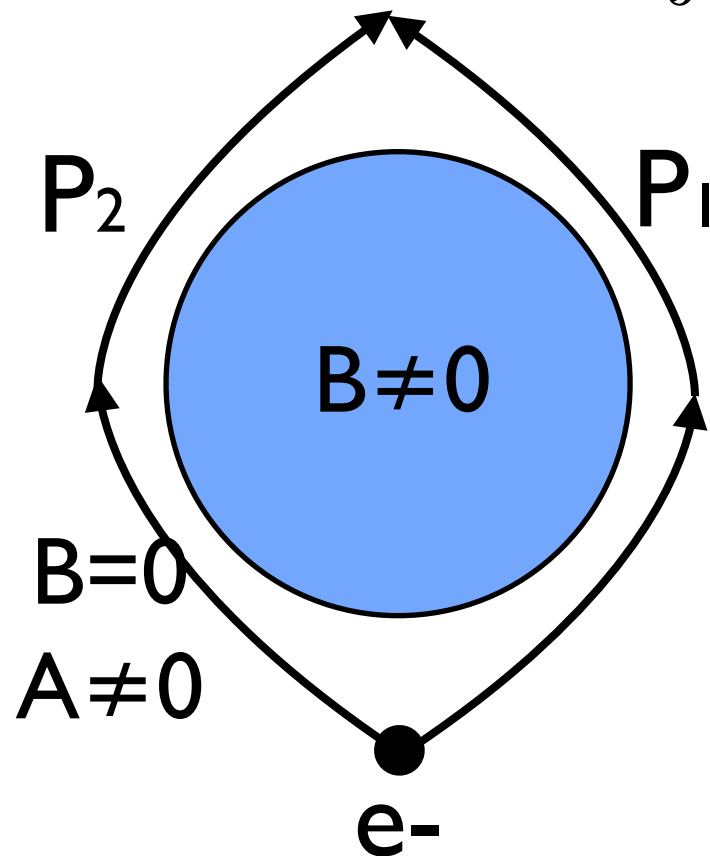
*CW mechanism, Technicolor, Gauge-Higgs.....*

Gauge Symmetry breaking  
by Non-Abelian AB effect

# U(1) Aharonov-Bohm Effect

$$\delta\phi = \frac{e}{\hbar} \int_C A dx \longleftrightarrow \text{Wilson-loop phase}$$

$$e^{i\delta\phi} \sim W = P \exp\left(ig \int_C A dx\right)$$



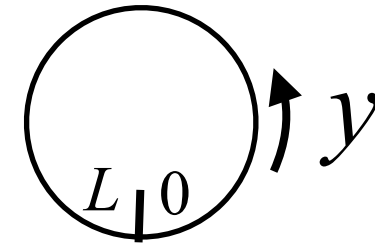
- Even if no field strength (x-indep  $A$ ), AB phase affects physics.
- Gauge-invariant quantity.  
Cannot be gauged away. It is Physics!

Purely quantum phenomenon!



# SU(N) gauge theory on $R^d \times S^1$ .

BC: 
$$\begin{aligned} A(x, y + L) &= U A(x, y) U^\dagger \\ \psi(x, y + L) &= e^{i\beta} U \psi(x, y) \end{aligned}$$



- Wilson loop in compacted direction  $W = P \exp \left\{ ig \int_C dy A_y \right\}$

1. constant eigenvalues  $\text{diag}[e^{2\pi i q_1}, e^{2\pi i q_2}, \dots, e^{2\pi i q_N}]$
2. invariant under gauge transformation keeping B.C.
3. cannot be gauged away, and contributes to physics

→ Non-abelian AB effect

cf.) Effective longitudinal gluon mass in Finite-T QCD Gross, Pisarski, Yaffe (1981)

$$\text{aPBC: } m^2 = \frac{1}{3} g^2 T^2 (N_c + \frac{1}{2} N_F) \quad \Rightarrow \quad \text{PBC: } m^2 = \frac{1}{3} g^2 T^2 (N_c - N_F)$$

$N_F > N_c$  means tachyonic, leading to  $\langle A_y \rangle \neq 0$  GSB could be !

- Hosotani mechanism Hosotani (1983)

$q_i \neq q_j \Rightarrow$  **spontaneous gauge symmetry breaking**

- Determined dynamically, depends on matter.
- Spectrum  $m_n^2 = \frac{1}{L^2} (n + q_i - q_j)^2 \rightarrow$  massive gauge boson  
e.g.)  $q_1=q_2=q_3 \neq q_4=q_5$ ,  $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$

- Gauge-Higgs unification Manton (1979) Hosotani (1983)

Nonzero  $q$  breaks gauge symmetry in  $(4+1)D$   
 $\rightarrow$  **Higgs as fluctuation of  $A_y$**  ( $m_H \sim O(g/L)$ )

cf.)  $SO(5) \times U(1)$  RS model Agashe, Contino, Pomarol (2005)

$SO(5) \times U(1) \rightarrow SO(4) \times U(1) \rightarrow SU(2) \times U(1) \rightarrow U(1)$   
 Orbifold b.c.      brane dynamics      Hosotani mech.

**Stable Higgs:**  
 $m_H = 130 \text{ GeV}$

Hosotani mechanism has been eventually studied  
in a different context.....,  
although they did not focus on it.

Myers, Ogilvie (07)(08)(09)  
Cossu, D'Elia(09)  
Nishimura, Ogilvie(10)

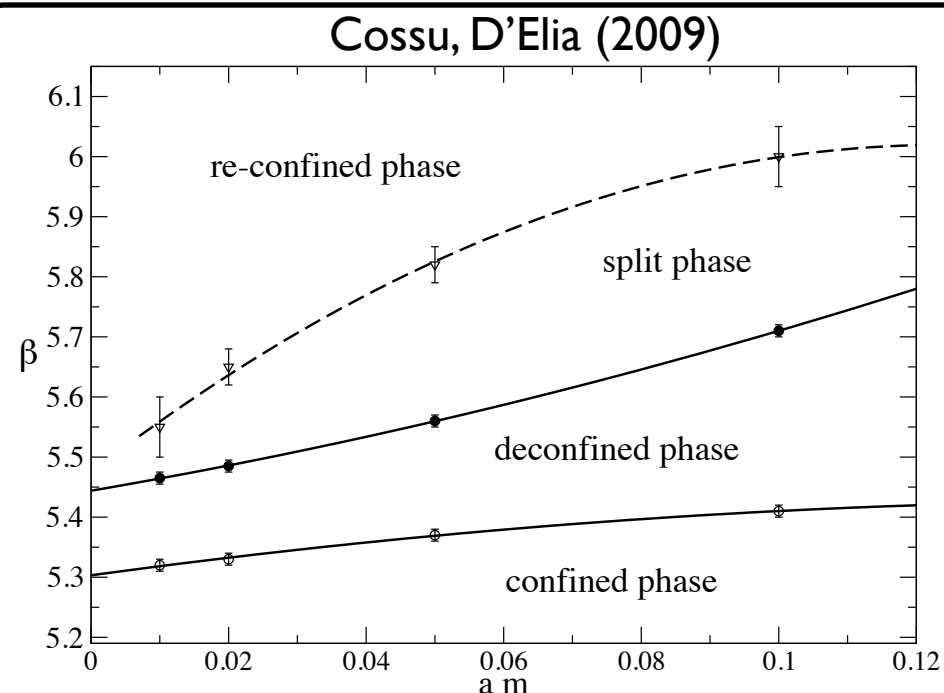
# QCD via Large-N volume reduction ? Eguchi-Kawai (1982)

$Z_3$  confined phase survives at small  $L$  ? (volume-indep.)

- Pure Eguchi-Kawai :  $Z_3$  broken Bhanot-Heller-Neuberger (1982)
- Eguchi-Kawai w/ adj. :  $Z_3$  restored ? Kovtun-Unsal-Yaffe (2007)

*QCD with PBC adj. matter is also a hot topic in the area.*

- Lattice Finite-T QCD with PBC adj. matter Myers-Ogilvie (2007)  
Cossu-D'Elia (2009)



- Rich phase structure found !
- Should be understood from Hosotani mechanism.

# Purpose

1. Understand phase structure in gauge theory with PBC fermions, focusing on SGSB.
2. Obtain useful information for phenom. models.
3. Seek other setups leading to SGSB.

Tools • One-loop effective potential  
• Polyakov-loop-extended NJL

# SU(N) perturbative one-loop effective potential

Gross-Pisarski-Yaffe (1981)

1. Replace  $\tau \rightarrow y$ ,  $\beta \rightarrow L$ .

2. Wilson-loop phases  $\rightarrow$  zero modes  $\langle A_y \rangle = \frac{2\pi}{gL} \text{diag}[q_1, \dots, q_N]$

**Gauge :** 
$$\mathcal{V}_g(q) = -\frac{2}{L^4\pi^2} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N}\delta_{ij}\right) \frac{\cos[2n\pi q_{ij}]}{n^4}$$

**Fund. :** 
$$\mathcal{V}_f^\phi(q; N_f, m_f) = \frac{2N_f m_f^2}{\pi^2 L^2} \sum_{i=1}^N \sum_{n=1}^{\infty} \frac{K_2(nm_f L)}{n^2} \cos[2\pi n(q_i + \phi)]$$

**Adj. :** 
$$\mathcal{V}_a^\phi(q; N_a, m_a) = \frac{2N_a m_a^2}{\pi^2 L^2} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N}\delta_{ij}\right) \frac{K_2(nm_a L)}{n^2} \cos[2\pi n(q_{ij} + \phi)]$$

**with**  $q_1 + q_2 + \dots + q_{N-1} + q_N = 0 \pmod{1}$

# SU(N) perturbative one-loop effective potential

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$\swarrow$   
 compacted scale
  $\nwarrow$   
# of color

**Fund. :**  $\mathcal{V}_f^\phi(q; N_f, m_f) = \frac{2N_fm_f^2}{\pi^2 L^2} \sum_{i=1}^N \sum_{n=1}^{\infty} \frac{K_2(nm_f L)}{n^2} \cos[2\pi n(q_i + \phi)]$

$\swarrow$  # of fund. flavor       $\nwarrow$  Fund. mass
  $\nwarrow$  boundary condition  
 $\phi=0$  : periodic  
 $\phi=1/2$  : anti-periodic

**Adj. :**  $\mathcal{V}_a^\phi(q; N_a, m_a) = \frac{2N_am_a^2}{\pi^2 L^2} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N}\delta_{ij}\right) \frac{K_2(nm_a L)}{n^2} \cos[2\pi n(q_{ij} + \phi)]$

$\swarrow$  # of adj. flavor       $\nwarrow$  Adj. mass

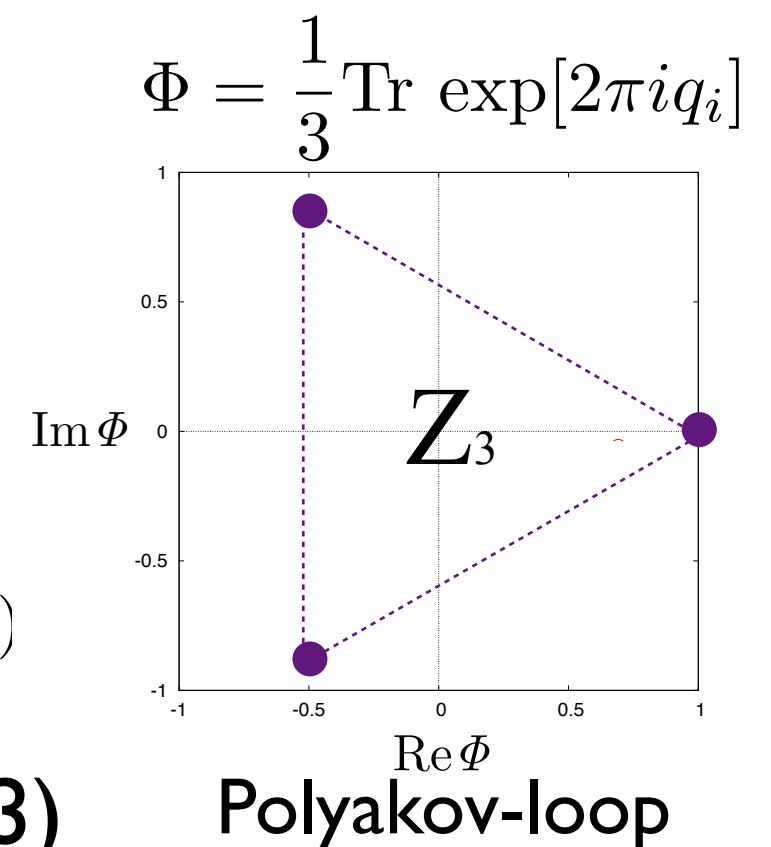
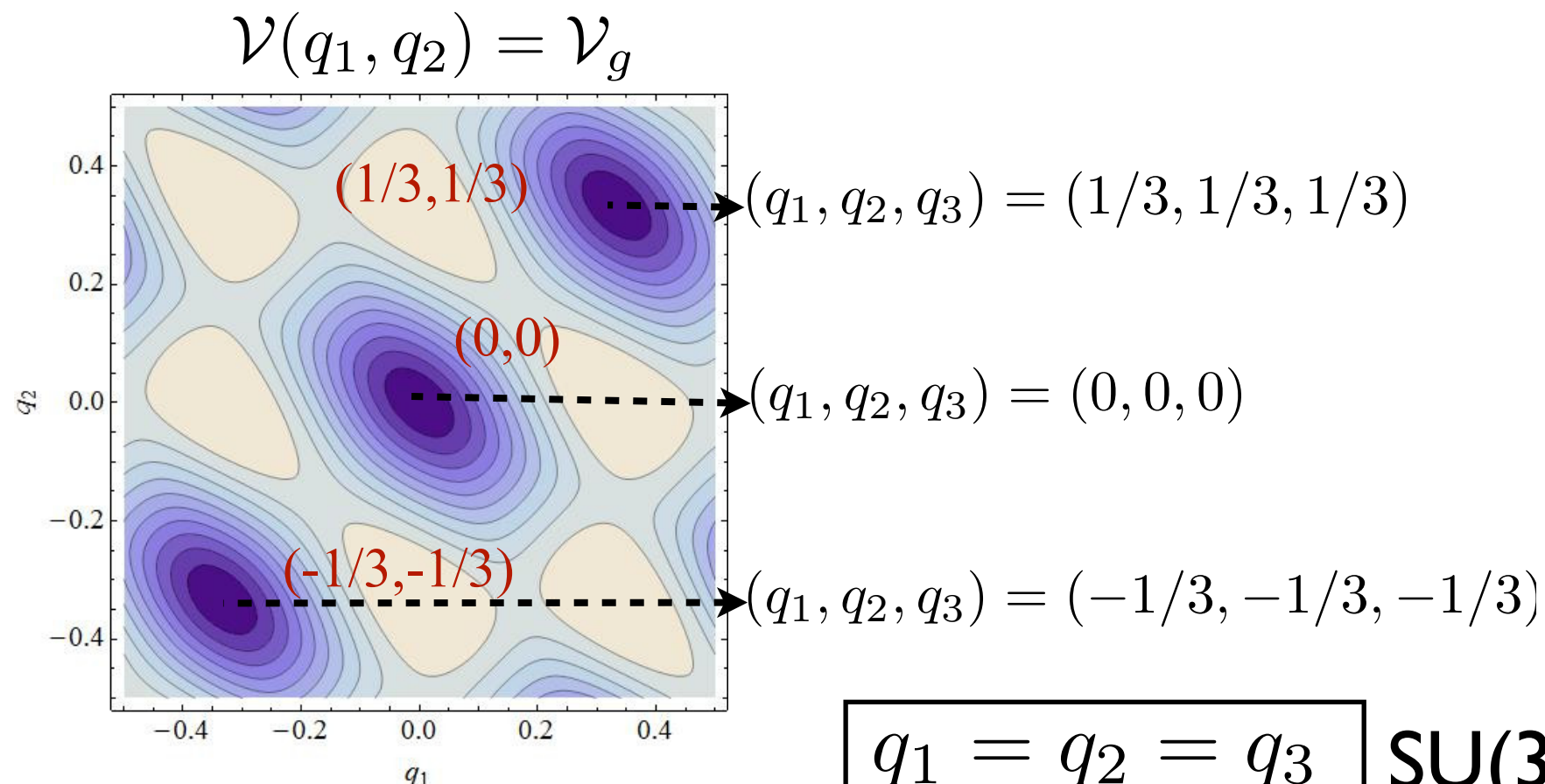
**with**  $q_1 + q_2 + \dots + q_{N-1} + q_N = 0 \pmod{1}$

# How to observe GSB

Look for global minima in effective potential

$q_1 + q_2 + q_3 = 0 \pmod{1} \rightarrow$  a function of  $q_1, q_2$  as  $\mathcal{V}(q_1, q_2)$

Ex.) Contour plot for SU(3) pure gauge on  $R^3 \times S^1$

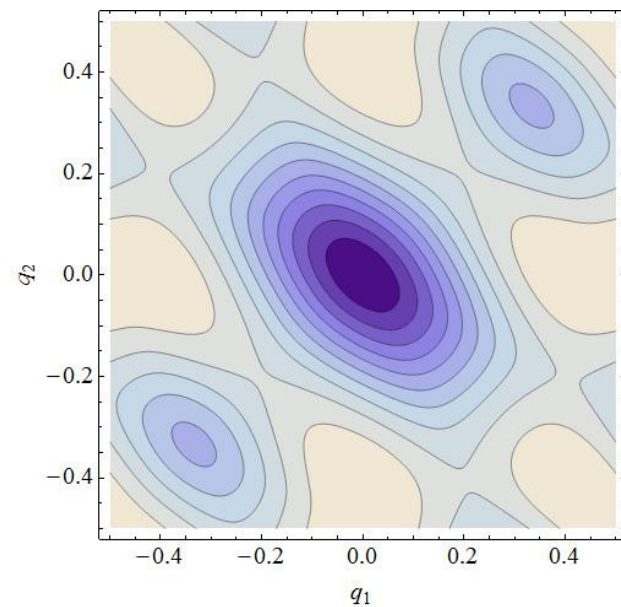


Minima other than these three indicates GSB !

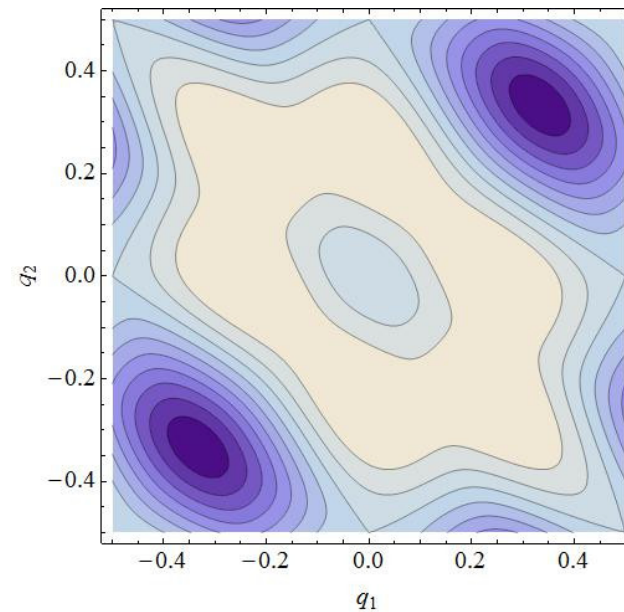


# Non-GSB cases

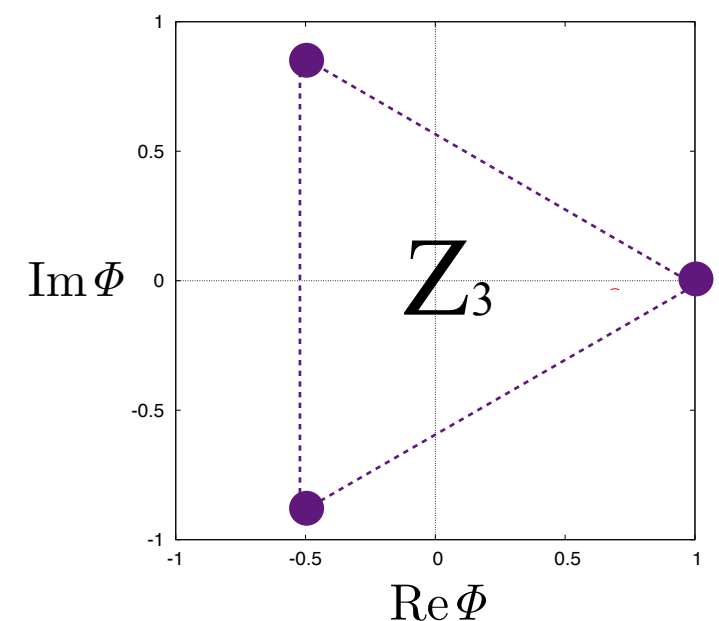
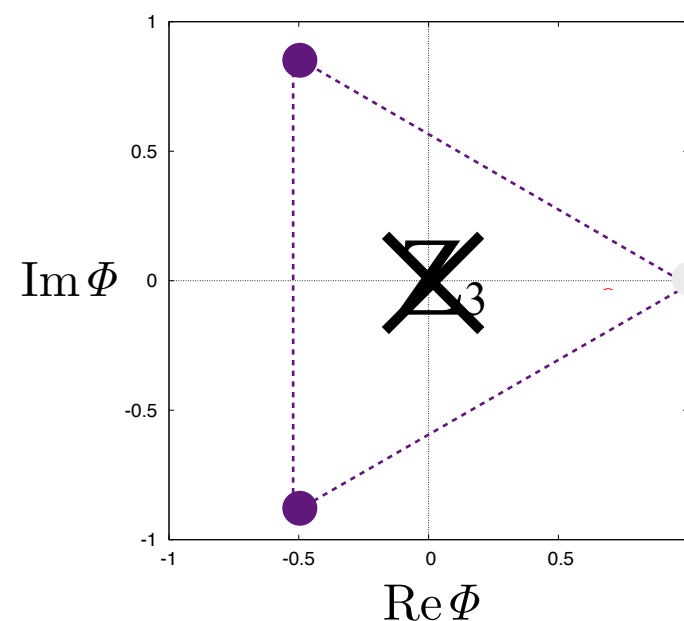
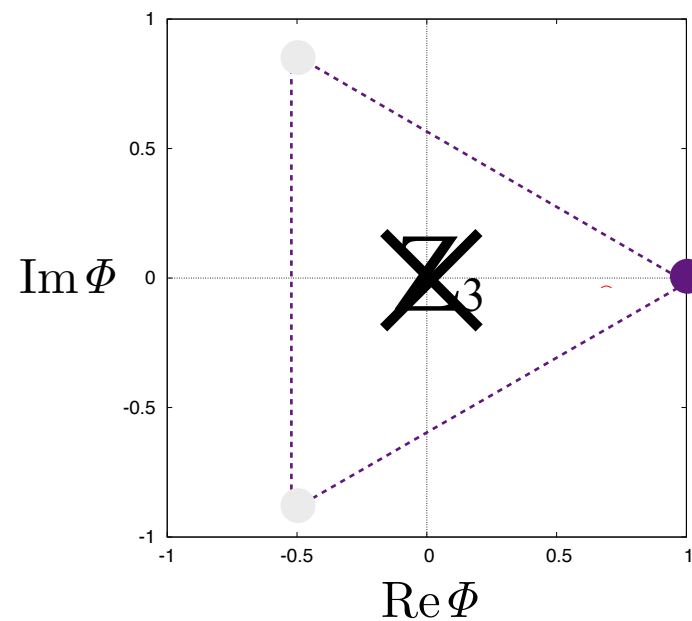
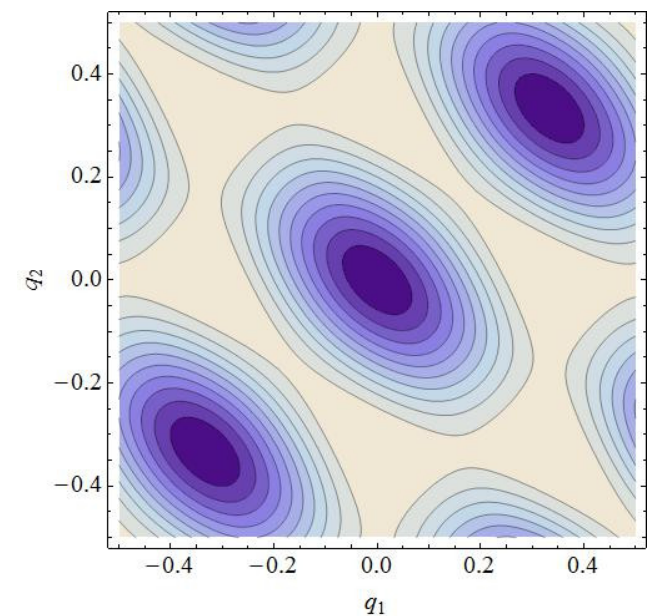
(1) aPBC fund.



(2) PBC fund.



(3) aPBC adjoint



Gauge symmetry is unbroken.

# 4D Gauge Symmetry Breaking

(on  $R^3 \times S^1$ )

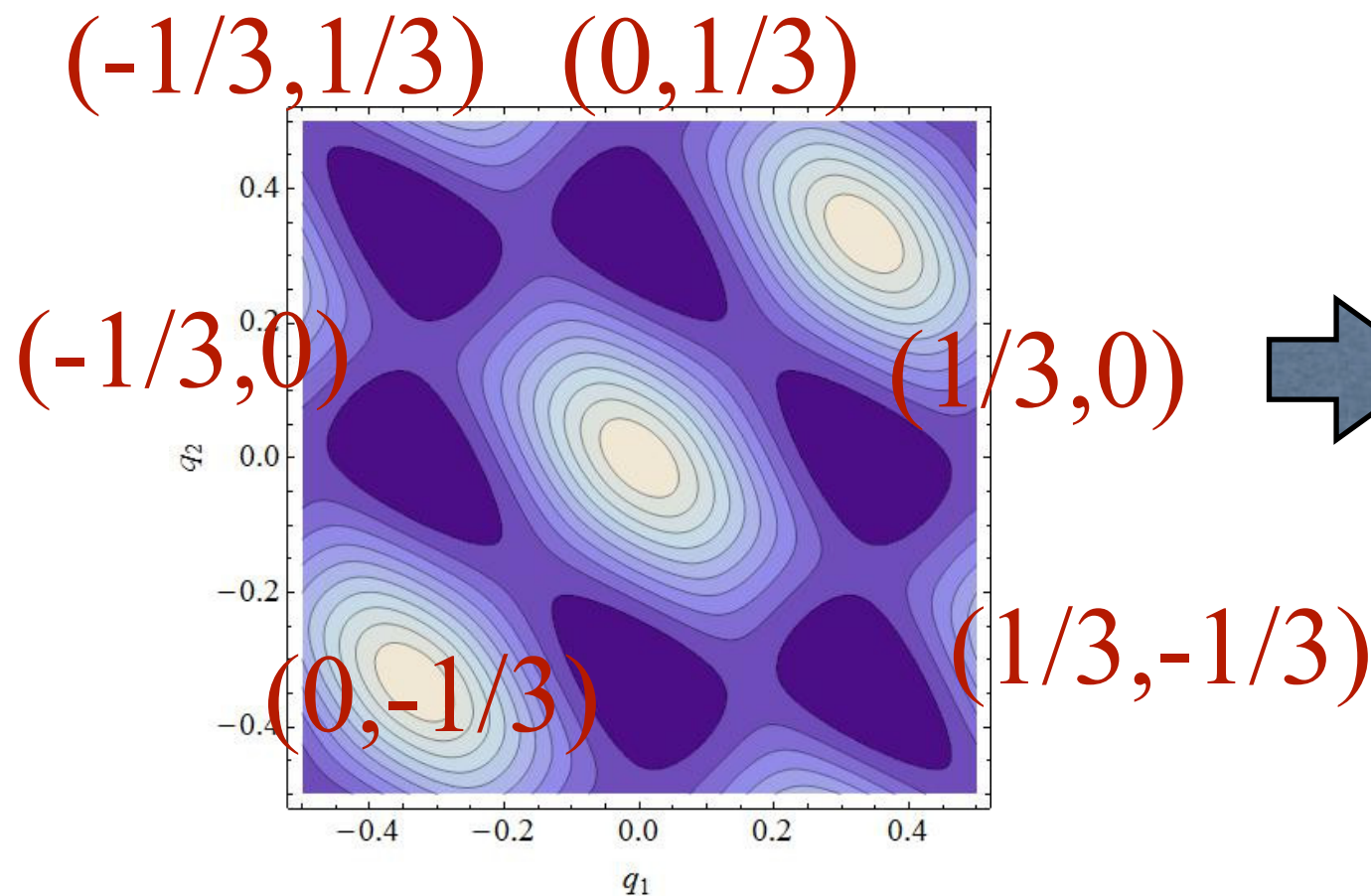
Kashiwa, TM [arXiv:1302.2196]

Cossu, Hatanaka, Hosotani, Itou, Noaki, work in progress

# SU(3) with PBC adjoint (m=0)

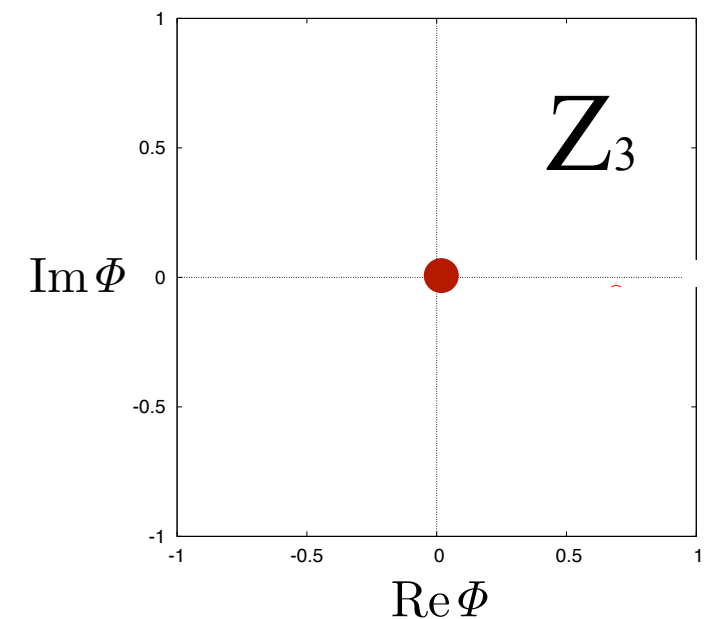
- Center  $Z_3$  is unbroken.
- Polyakov-loop reflects  $Z_3$

$$\mathcal{V}(q_1, q_2) = \mathcal{V}_g + \mathcal{V}_a^0$$



$$(q_1, q_2, q_3) = (1/3, -1/3, 0)$$

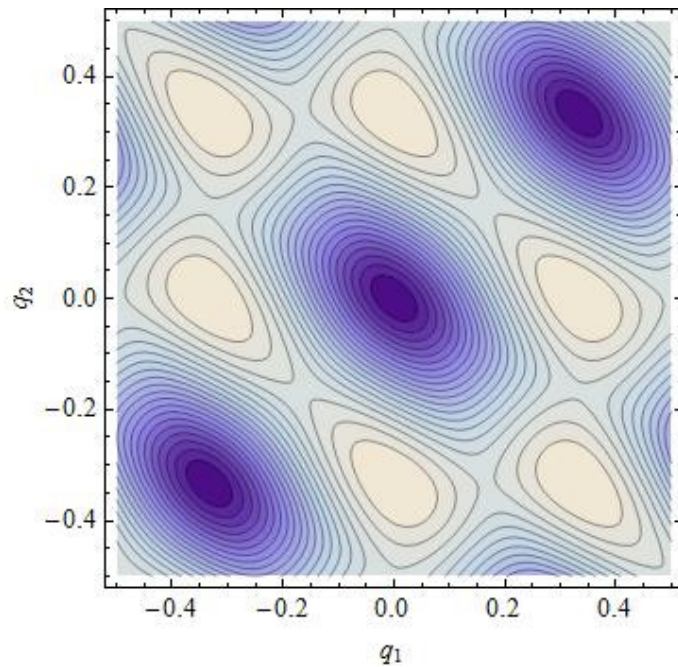
$$q_1 \neq q_2 \neq q_3$$



**SU(3) gauge symmetry broken to  $U(1) \times U(1)$**

Hosotani (1983)

# SU(3) with PBC adjoint ( $m \neq 0$ )

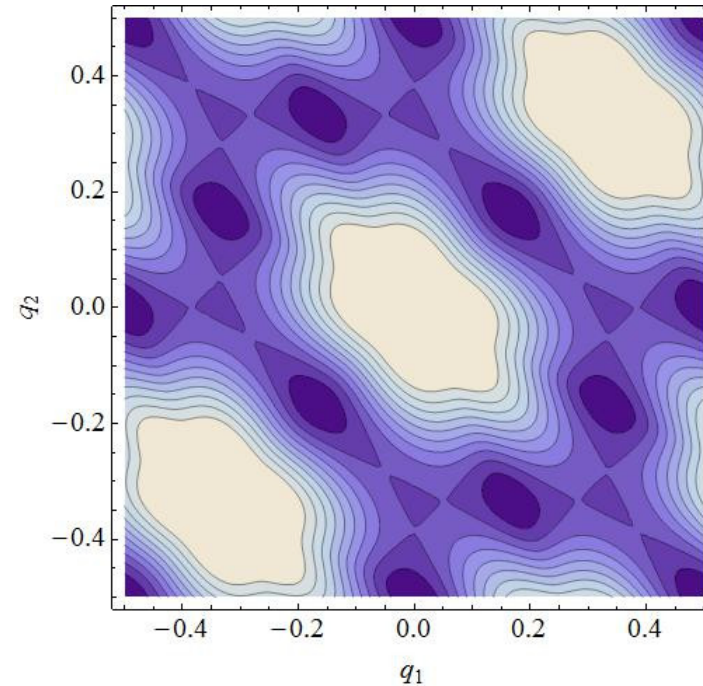
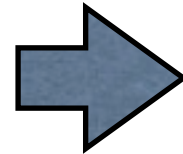


large m

$$\left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\right) (0, 0, 0) \left(-\frac{1}{3}, -\frac{1}{3}, -\frac{1}{3}\right)$$

$$q_1 = q_2 = q_3$$

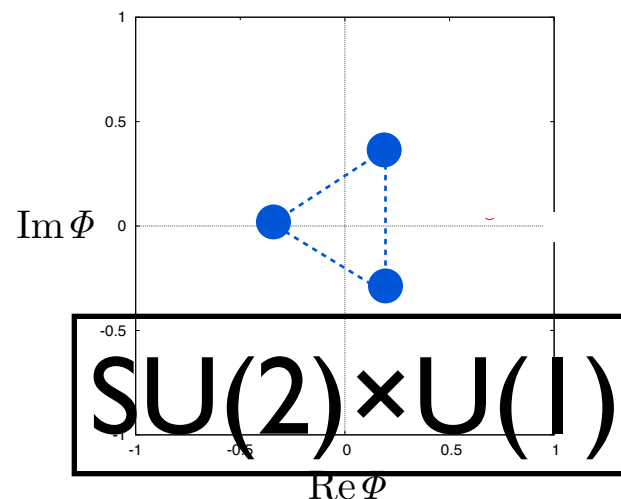
SU(3)



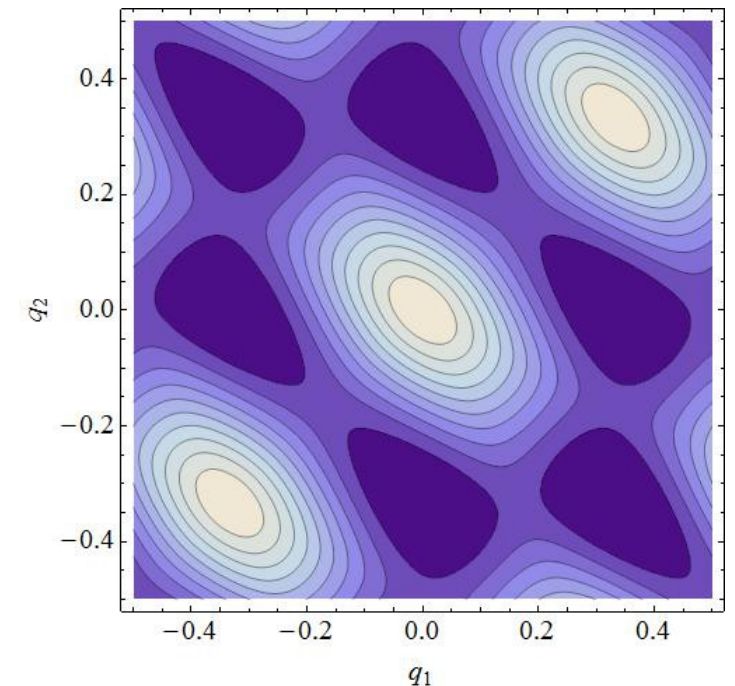
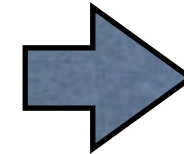
medium m

$$\left(\frac{1}{2}, \frac{1}{2}, 0\right) \left(\frac{1}{6}, \frac{1}{6}, -\frac{1}{3}\right) \left(-\frac{1}{6}, -\frac{1}{6}, \frac{1}{3}\right)$$

$$q_1 = q_2 \neq q_3$$



SU(2) x U(1)



small m

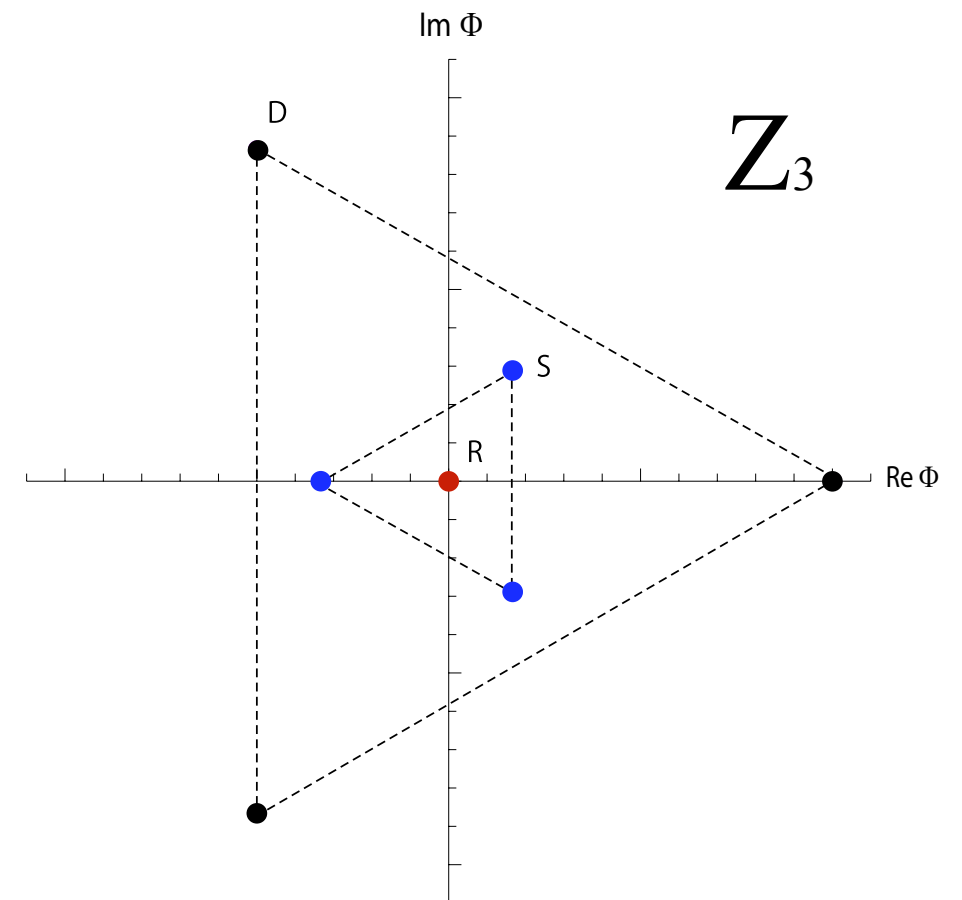
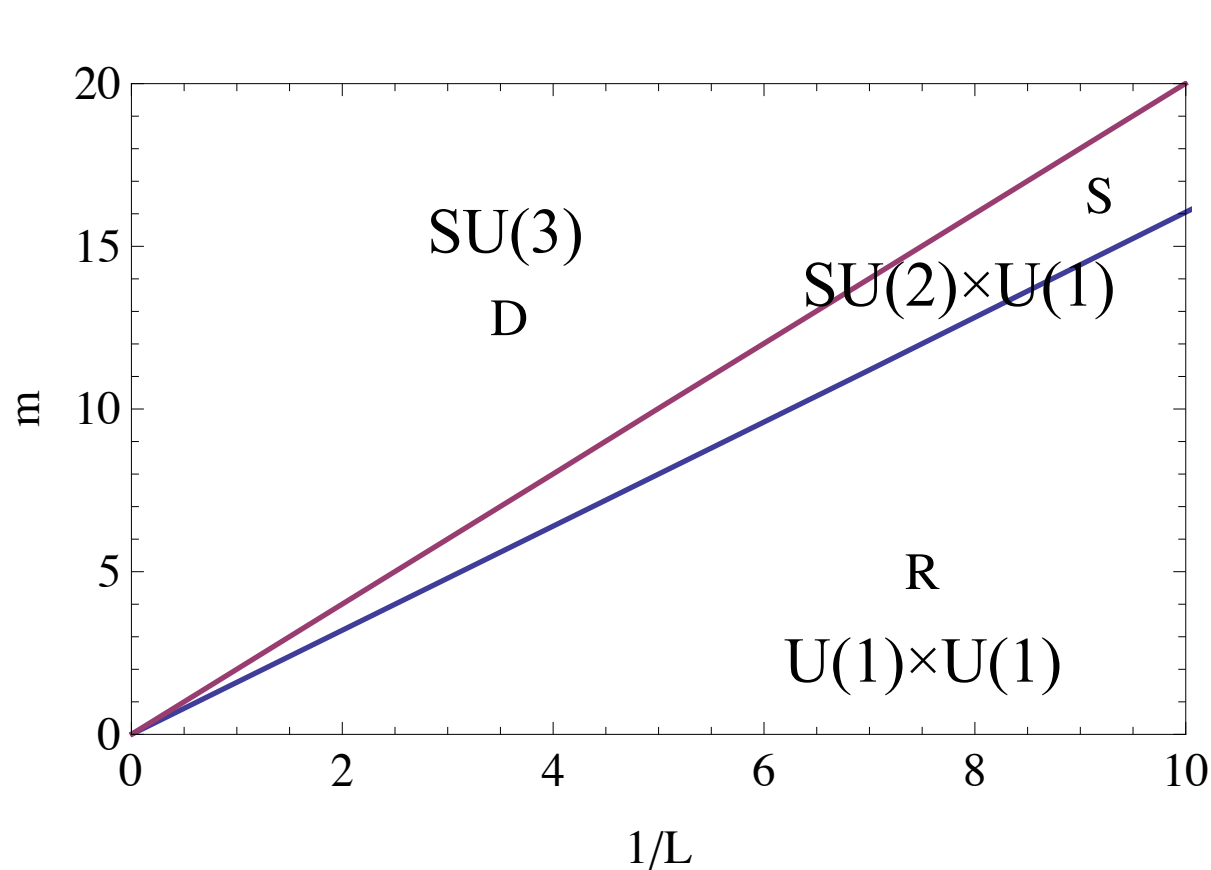
$$\left(\frac{1}{3}, -\frac{1}{3}, 0\right)$$

$$q_1 \neq q_2 \neq q_3$$

U(1) x U(1)



# Phase diagram & Polyakov-loop



SU(3) → “Deconfined”

SU(2) x U(1) → “Split” (Phenomenologically most desirable)

U(1) x U(1) → “Re-confined” (zero Polyakov-loop  $\Phi=0$ )

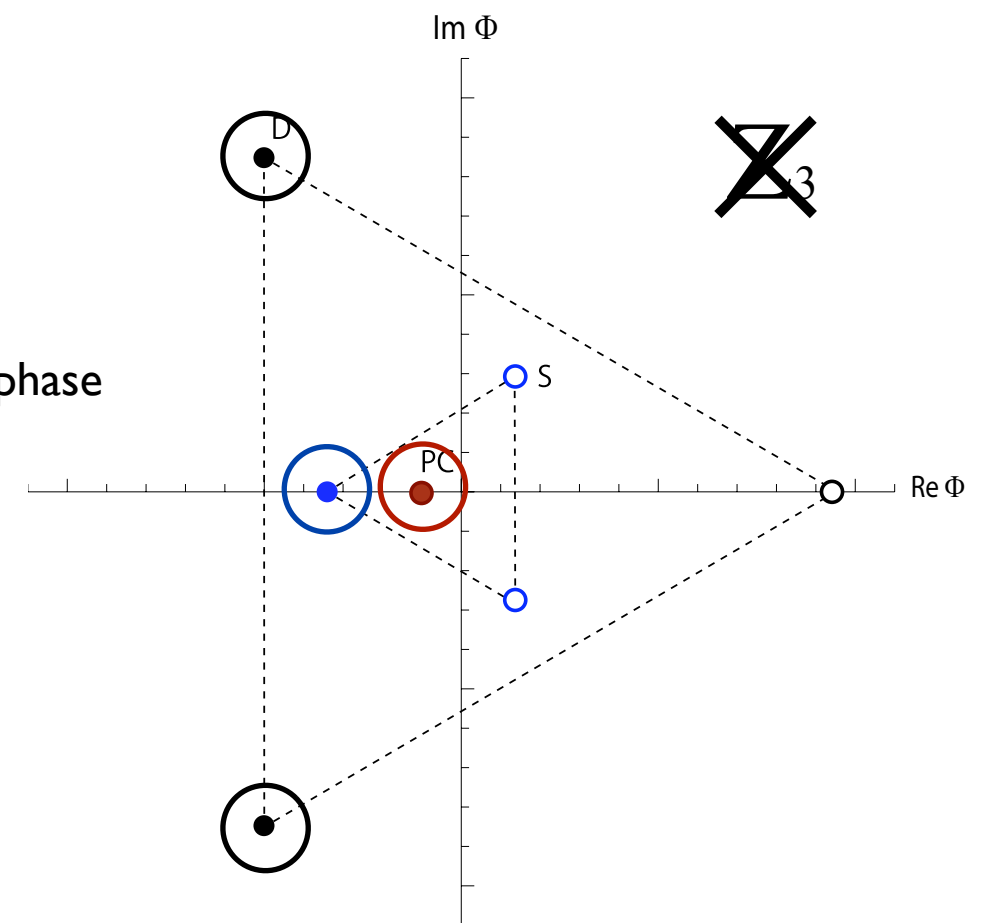
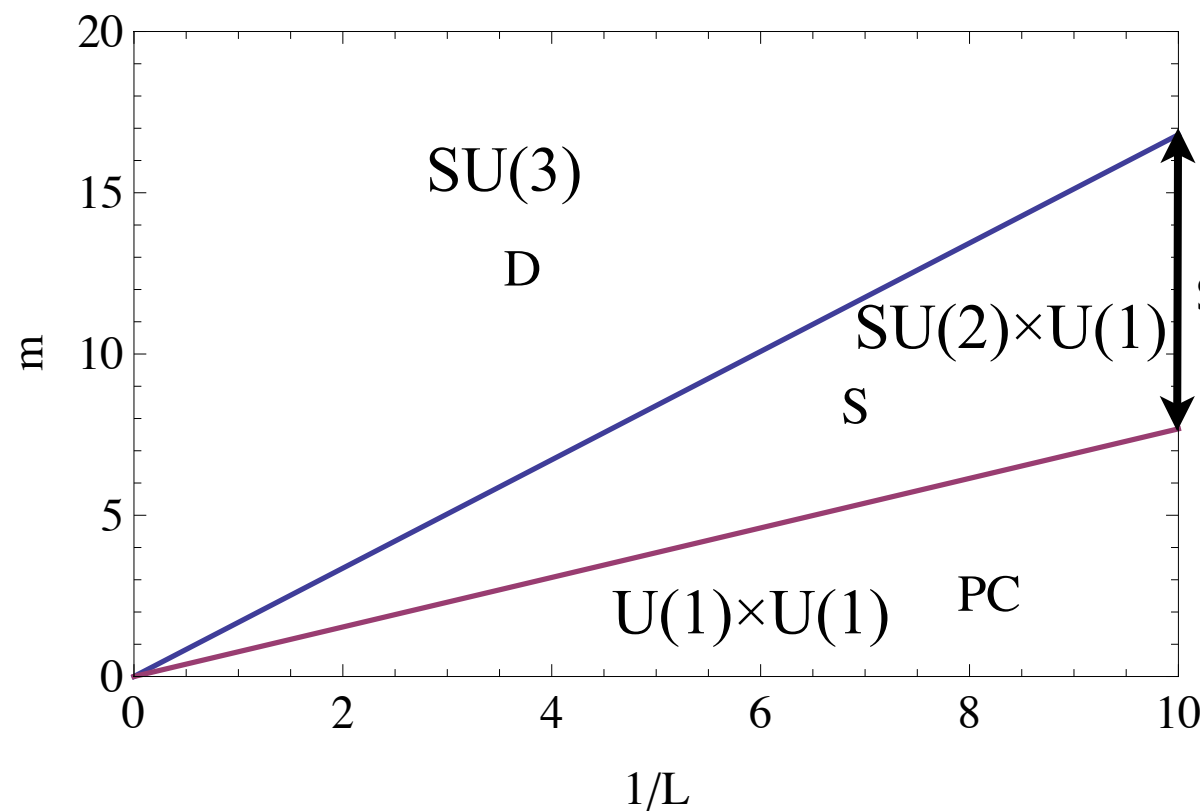
cf.)  $\langle \Phi \rangle = 0$  in confined phase is due to large fluctuation in strong-coupling regime.

*SU(2) x U(1) phase can be enlarged?* → yes! By fund. matters

# SU(3) with adj. & fund. with PBC

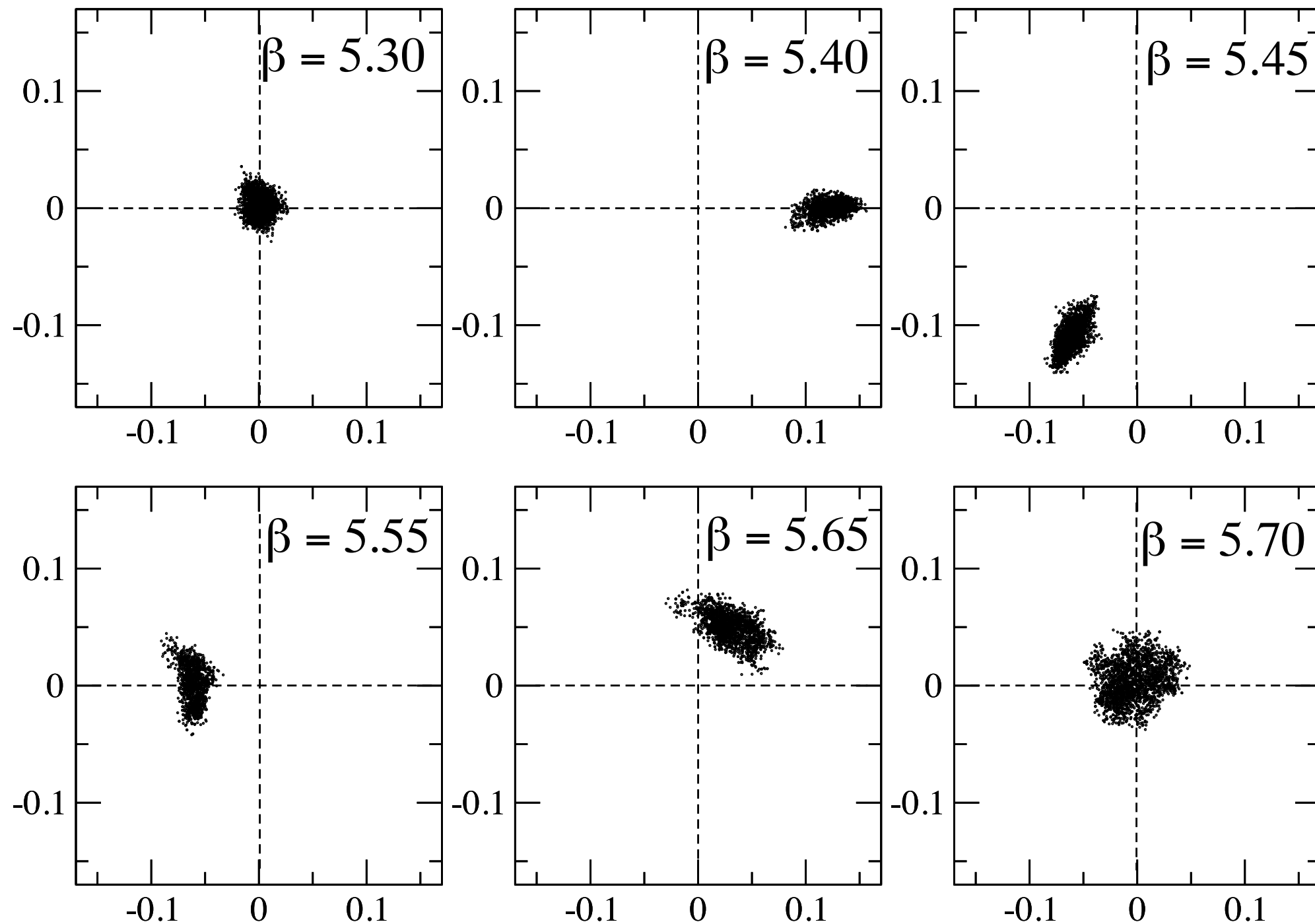
- *The center is broken by fund. matter.*
- *Vacua moved to  $\text{Re}\Phi < 0$  direction.*

$$\mathcal{V}(q_1, q_2) = \mathcal{V}_g + \mathcal{V}_a^0 + \mathcal{V}_f^0$$

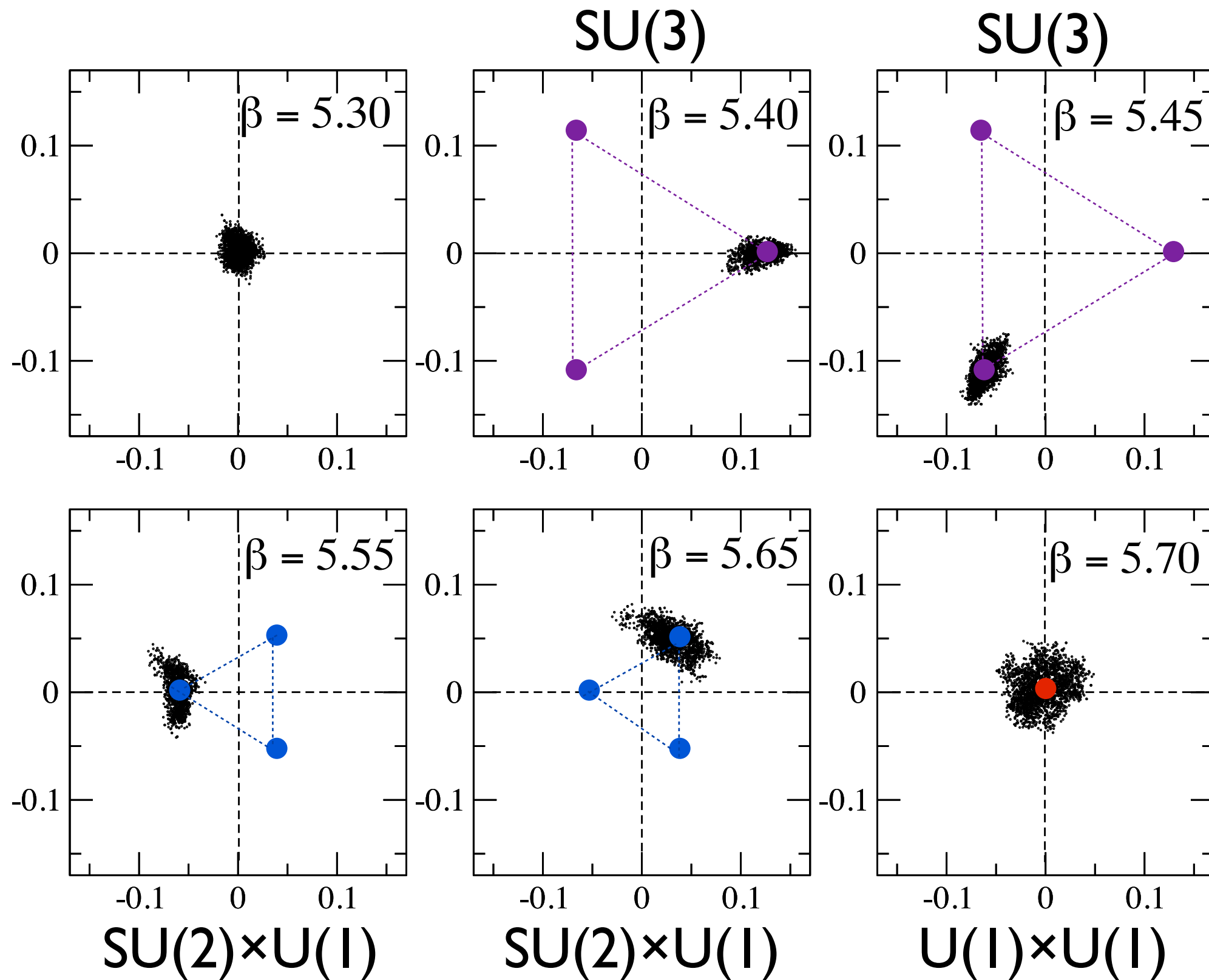


- SU(2)  $\times$  U(1) minimum: deeper and stable due to  $Z_3$  breaking
- U(1)  $\times$  U(1) minimum: moves and unstable (approaching to S)

# Re-interpretation of adj. lattice results Cossu, D'Elia (2009)



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# Chiral properties

- Chiral model with PBC adjoint 2 flavors Nishimura, Ogilvie (2010)

$$\mathcal{L}_{\text{PNJL}} = \bar{\psi}(\gamma_\mu D_\mu + m)\psi - g_S[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\vec{\tau}\psi)^2] + \mathcal{V}_g \quad (D_\mu = \partial_\mu + iA_4)$$

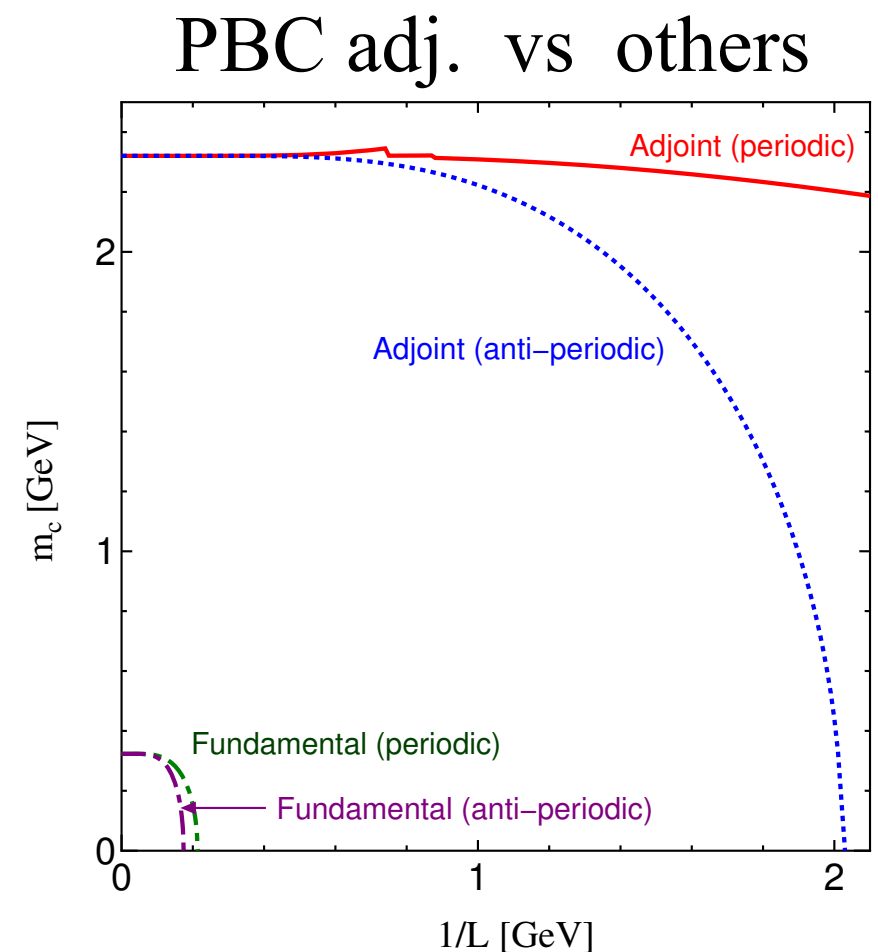
Fukushima (2004)

➔  $\mathcal{V}(q_1, q_2, \sigma) = \mathcal{V}_g + \mathcal{V}_F + \mathcal{V}_\chi$

- Dimension parameters  $\Lambda_{\text{cutoff}}, g_S$
- fixed so as to reproduce aPBC results  
Karsch, Lutgemeier (1998)

Fund:  $\Lambda = 0.63 \text{ GeV}$  and  $g_S\Lambda^2 = 2.19$

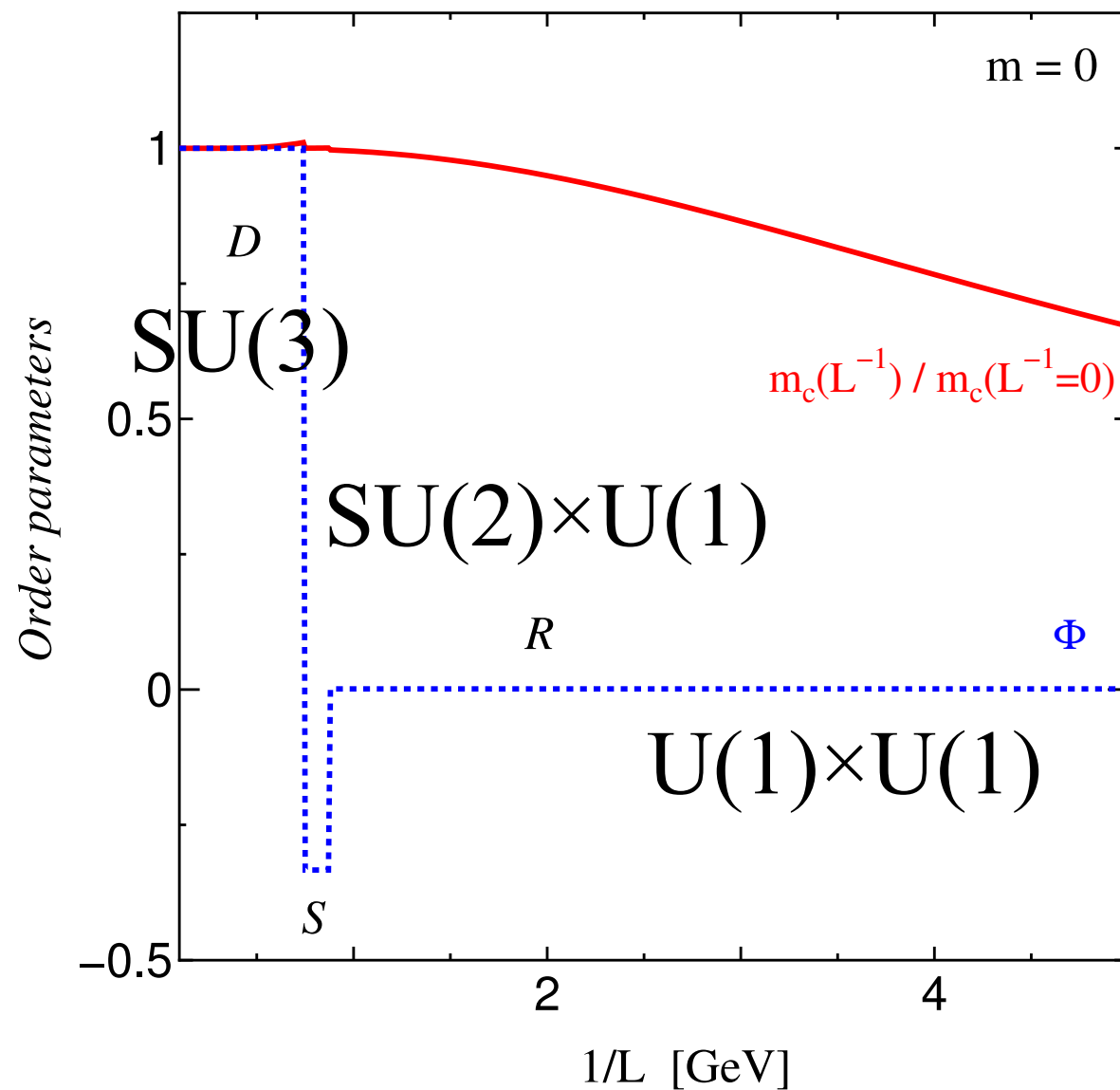
Adj:  $\Lambda = 23.22 \text{ GeV}$  and  $g_S\Lambda^2 = 0.63$



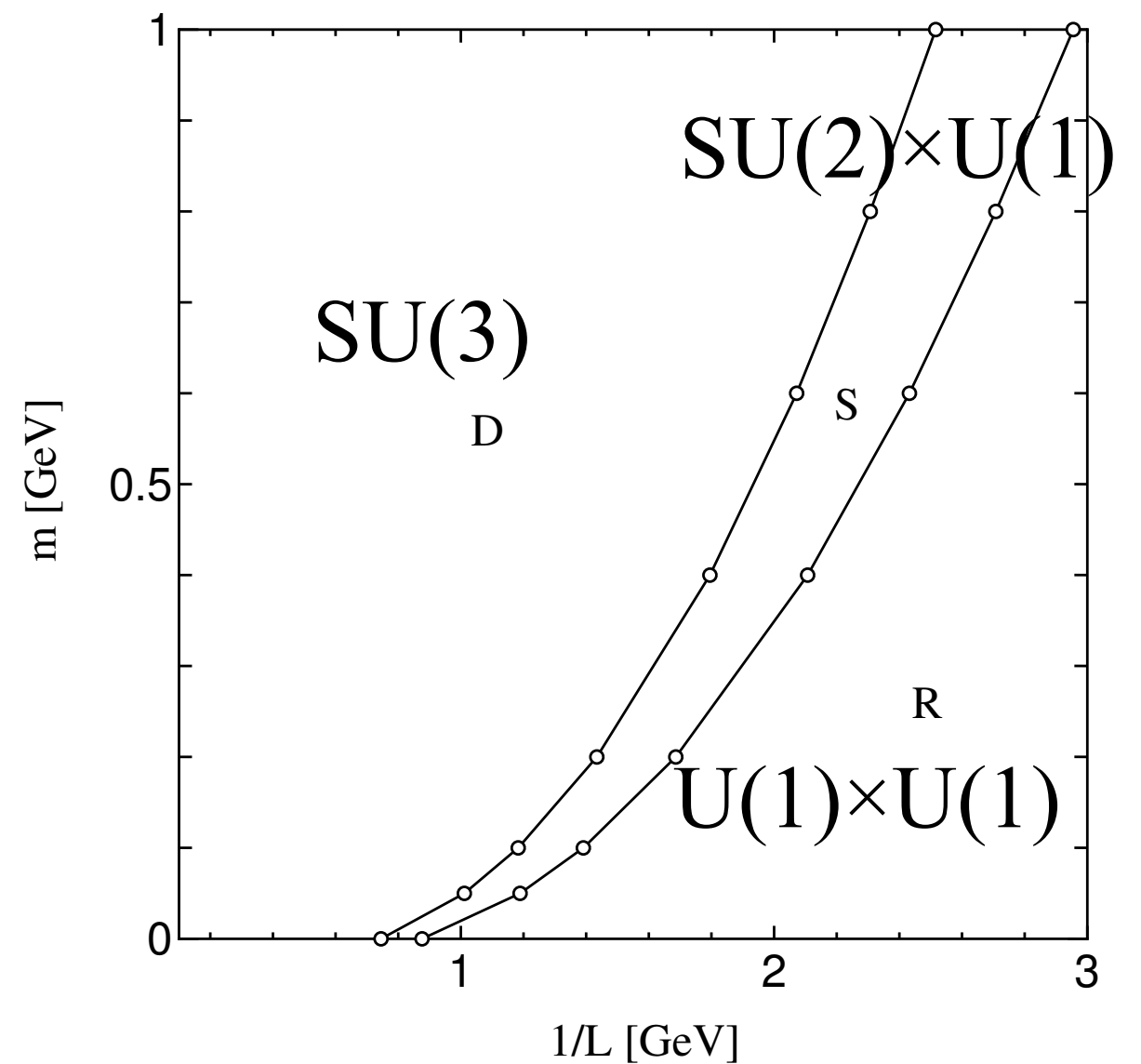
Chiral symmetry restored slowly for PBC adj. Cossu, D'Elia (2009)

# Phase diagram w/ chiral part

chiral vs  $\text{Re } \Phi$



Phase diagram



- Qualitatively unchanged, but the scaling disappears.

# 5D Gauge Symmetry Breaking

(on  $R^4 \times S^1$ )

Kashiwa, TM [arXiv:1302.2196]

Cossu, Hatanaka, Hosotani, Itou, Noaki, work in progress

# 5D SU(N) one-loop effective potential

1. Replace  $\tau \rightarrow y$ ,  $\beta \rightarrow L$ .

2. Wilson-loop phases  $\rightarrow$  zero modes  $\langle A_y \rangle = \frac{2\pi}{gL} \text{diag}[q_1, \dots, q_N]$

**Gauge :** 
$$\mathcal{V}_g = -\frac{9}{4\pi^2 L^5} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N} \delta_{ij}\right) \frac{\cos(2\pi n q_{ij})}{n^5}$$

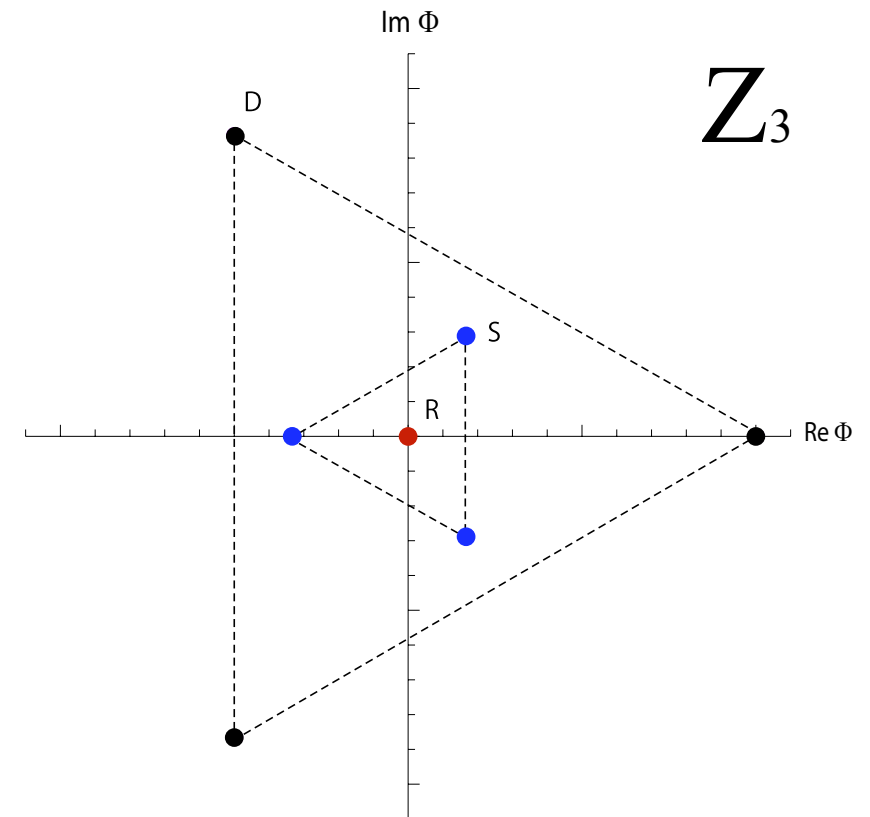
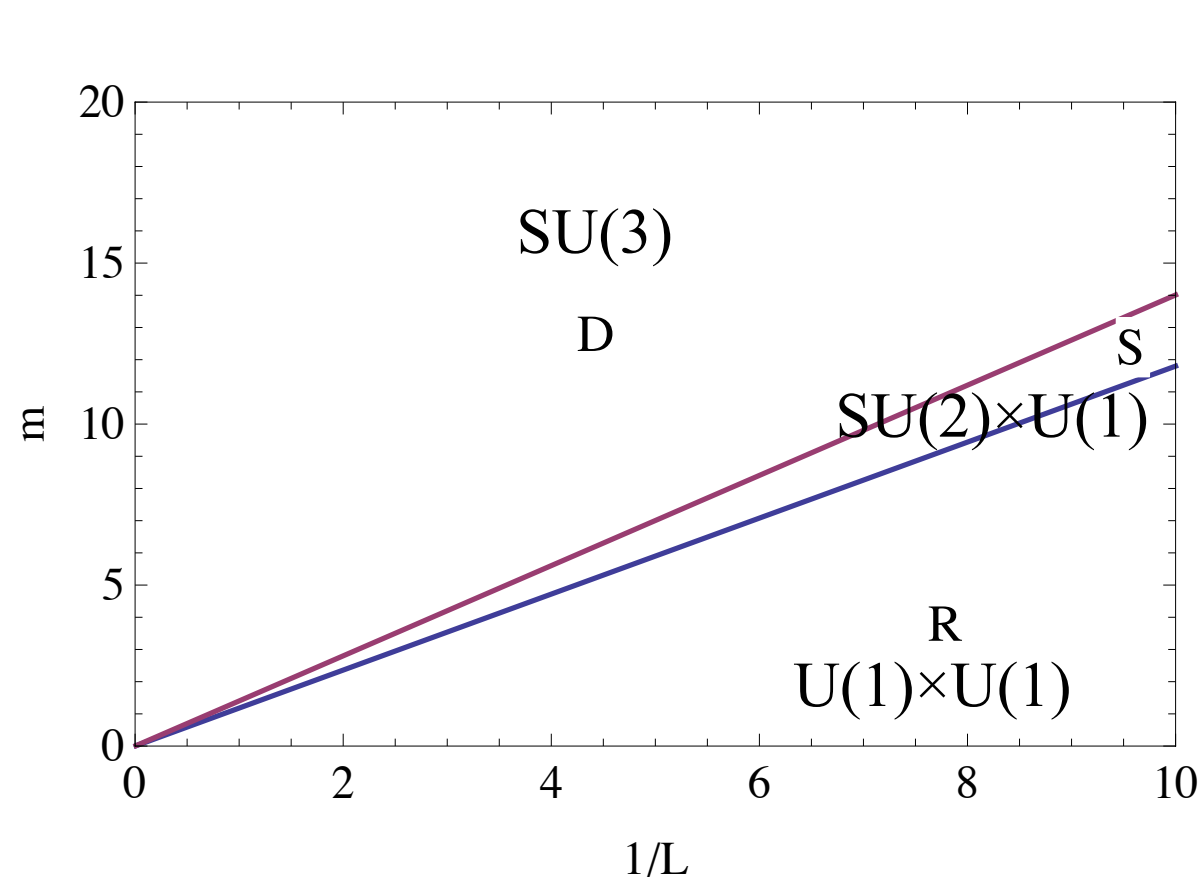
**Fund. :** 
$$\mathcal{V}_f^{\phi}(N_f, m_f) = \frac{\sqrt{2} N_f (m_f/L)^{5/2}}{\pi^{5/2}} \sum_{i=1}^N \sum_{n=1}^{\infty} \frac{K_{5/2}(nm_f L)}{n^{5/2}} \cos[2\pi n (q_i + \phi)]$$

**Adj. :** 
$$\mathcal{V}_a^{\phi}(N_a, m_a) = \frac{\sqrt{2} N_a (m_a/L)^{5/2}}{\pi^{5/2}} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N} \delta_{ij}\right) \frac{K_{5/2}(nm_a L)}{n^{5/2}} \cos[2\pi n (q_{ij} + \phi)]$$

**with**  $q_1 + q_2 + \dots + q_{N-1} + q_N = 0 \pmod{1}$

# 5D SU(3) with adjoint PBC

$$\mathcal{V}(q_1, q_2) = \mathcal{V}_g + \mathcal{V}_a^0$$

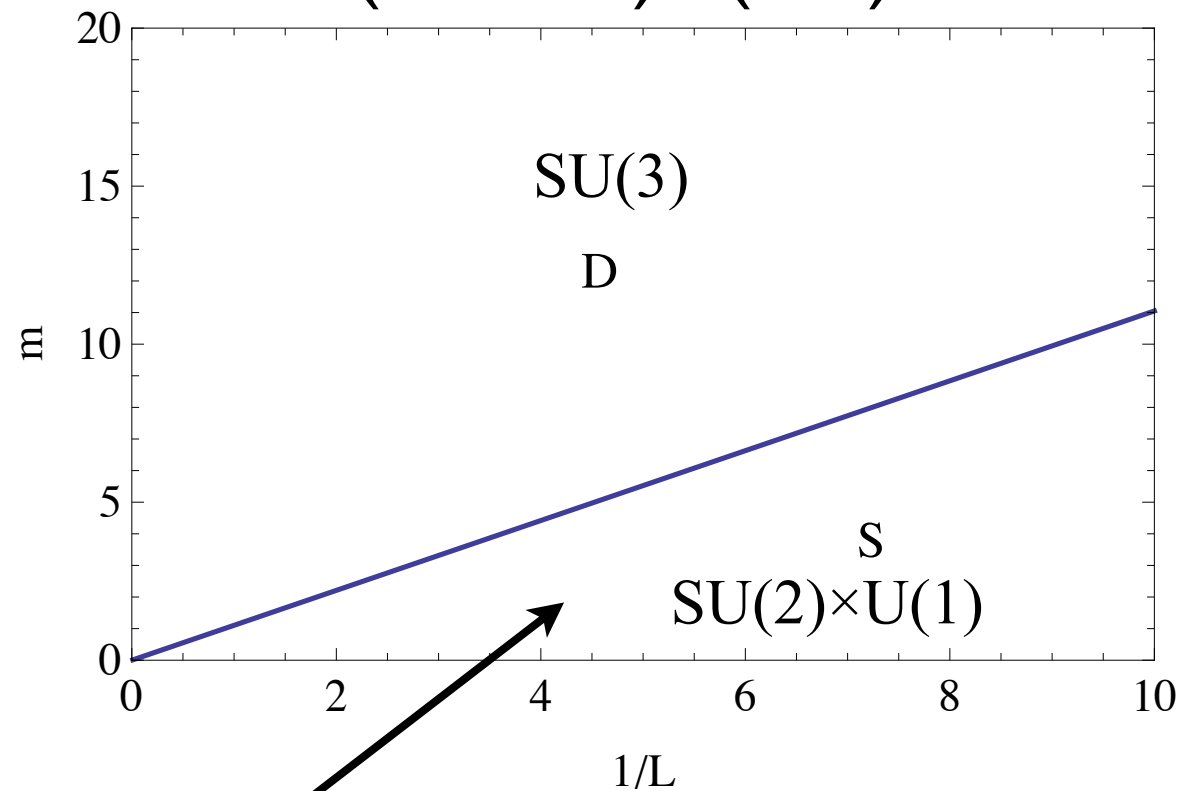


- Qualitatively the same as 4D, but narrower SU(2)×U(1).
- $Z_3$  symmetric Polyakov-loop distribution is the same.

# 5D SU(3) w/ adj. & fund.

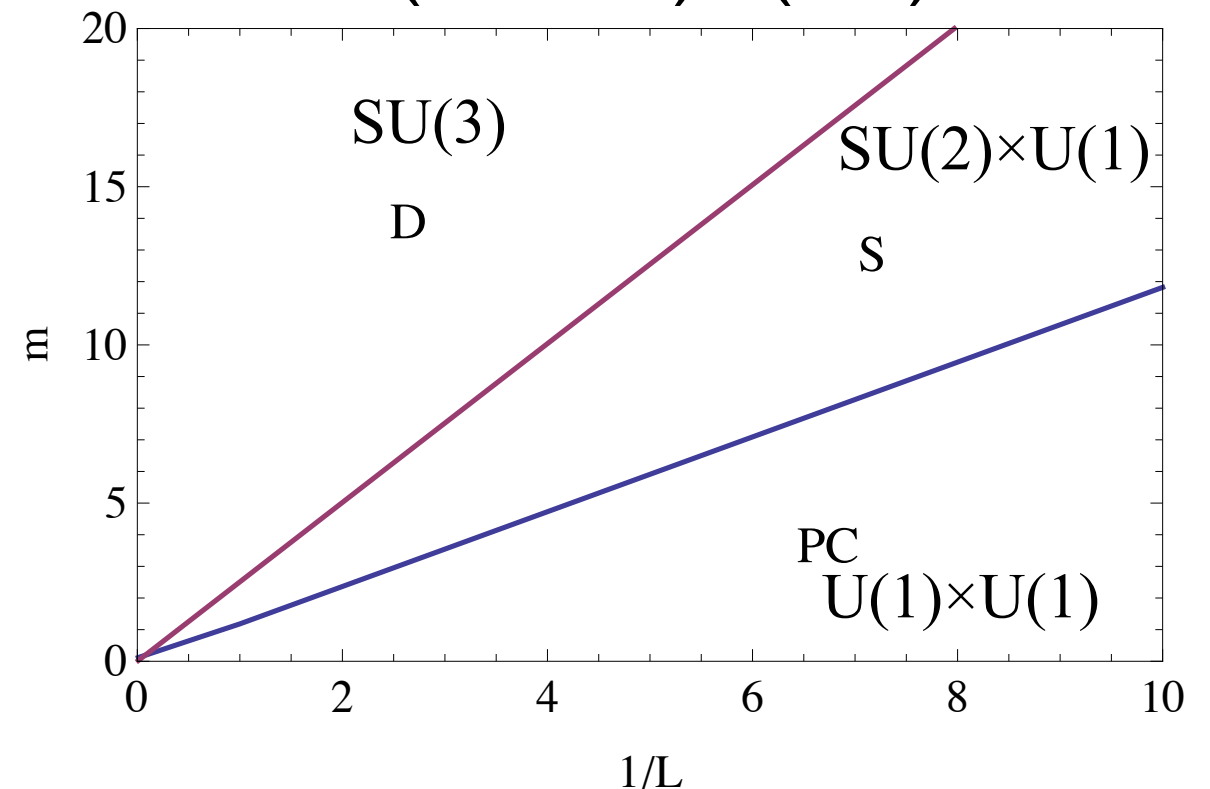
$$\mathcal{V}(q_1, q_2) = \mathcal{V}_g + \mathcal{V}_a^0 + \mathcal{V}_f^0$$

(Nf, Na)=(1,1)



Split phase dominant !

(Nf, Na)=(2,2)



- SU(2)×U(1) phase enhancement is more prominent.
- Phase structure is more sensitive to # of flavors.

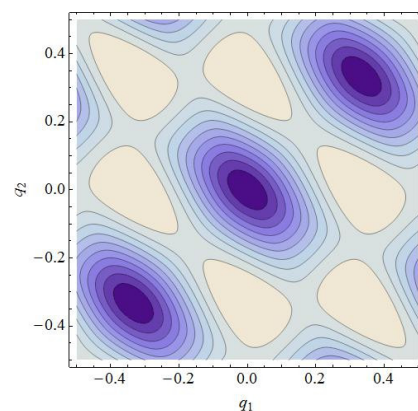
# What happens in the potential ?

Competition of adj. and gluon effective potentials

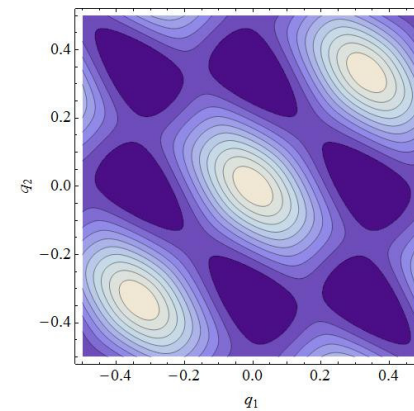
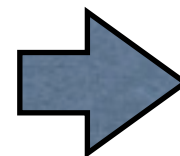
**Gluon**  $\mathcal{V}_g = - \frac{2}{L^4 \pi^2} \sum_{i,j=1}^3 \sum_{n=1}^{\infty} \left(1 - \frac{1}{3} \delta_{ij}\right) \frac{\cos[2n\pi q_{ij}]}{n^4}$

opposite sign !  $\updownarrow$

**PBC adj.**  $\mathcal{V}_a = + \frac{4}{L^4 \pi^2} \sum_{i,j=1}^3 \sum_{n=1}^{\infty} \left(1 - \frac{1}{3} \delta_{ij}\right) \frac{\cos[2\pi n q_{ij}]}{n^4}$



$\mathcal{V}_g$



$\mathcal{V}_g + \mathcal{V}_a^0$

$Z_3$  unbroken

Can be realized by Fund. quarks with appropriate B.C.?

The answer is Yes !

# Flavor-dependent twisted B.C. (FTBC)

Kouno, TM, Kashiwa, Makiyama, Sasaki, Yahiro, in preparation.



# FTBC for 3 fundamental flavors in SU(3)

Sakai, Kouno,  
Sasaki, Yahihiro (2012)

$$(q_1, q_2, q_3)_{x, y+L} = (q_1, e^{2\pi i/3} q_2, e^{4\pi i/3} q_3)_{x, y}$$

cf.) Flavored chemical potential

↓  $Z_3$  transformation

$$(e^{2\pi i/3} q_1, e^{4\pi i/3} q_2, q_3)_{x, y}$$

Relabeling

$Z_3$  center is preserved by use of  $Z_3$  of flavor SU(3).

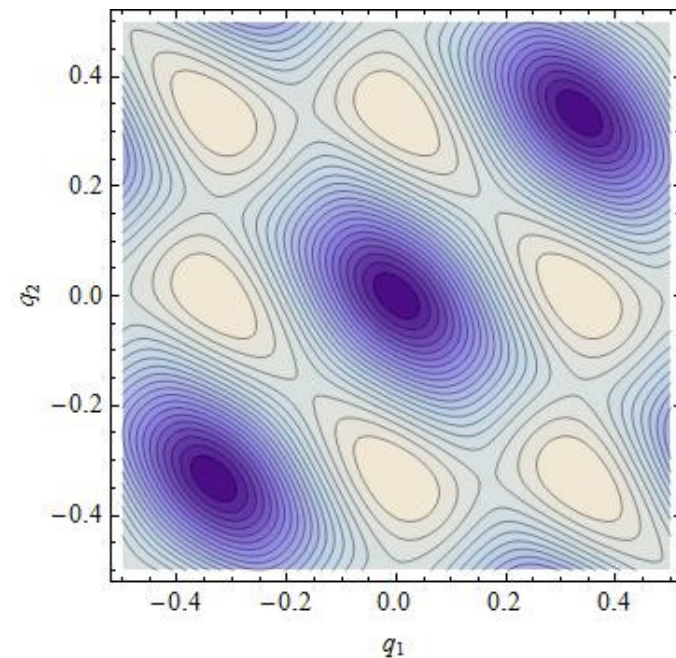
## • One-loop effective potential for FTBC

$$\mathcal{V}_f^{FT} = + \frac{4}{L^4 \pi^2} \sum_i^3 \sum_f^3 \sum_{n=1}^{\infty} \frac{\cos[2\pi n q_{if}]}{n^4} \quad q_{if} = q_i + (f-1)/3$$

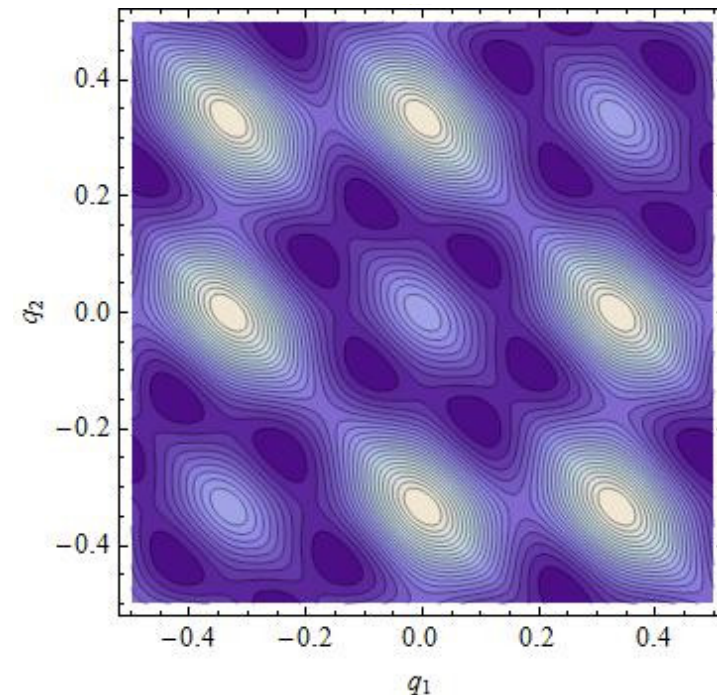
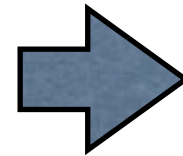
similar form to adj. case

cf.)  $\mathcal{V}_a = + \frac{4}{L^4 \pi^2} \sum_{i,j=1}^3 \sum_{n=1}^{\infty} \left(1 - \frac{1}{3} \delta_{ij}\right) \frac{\cos[2\pi n q_{ij}]}{n^4}$

# GSB and phase structure for FTBC



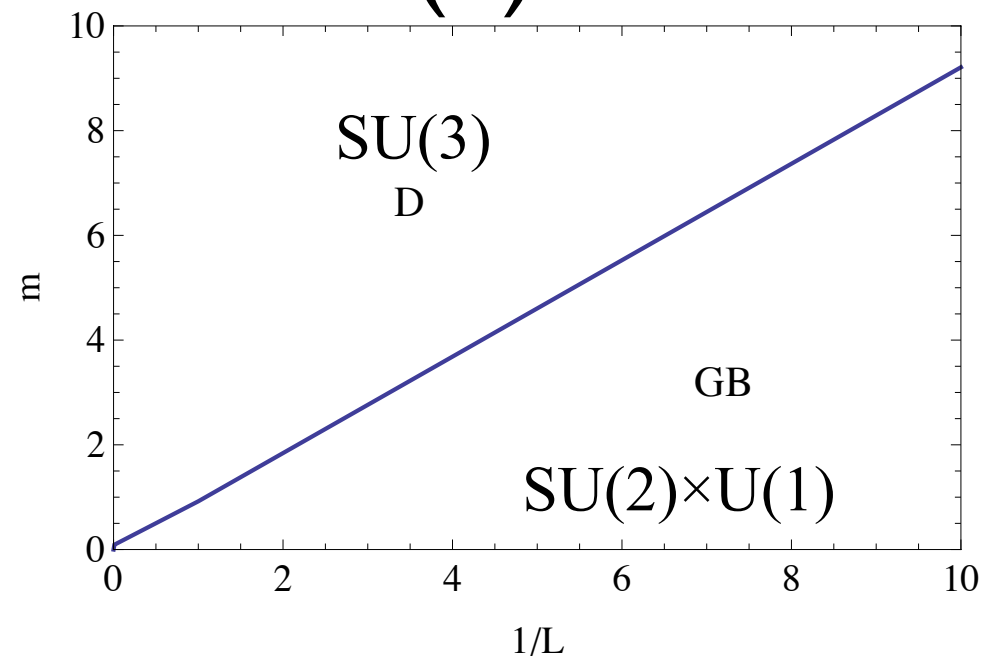
large  $m$



small  $m$

$SU(3)$

$SU(2) \times U(1)$

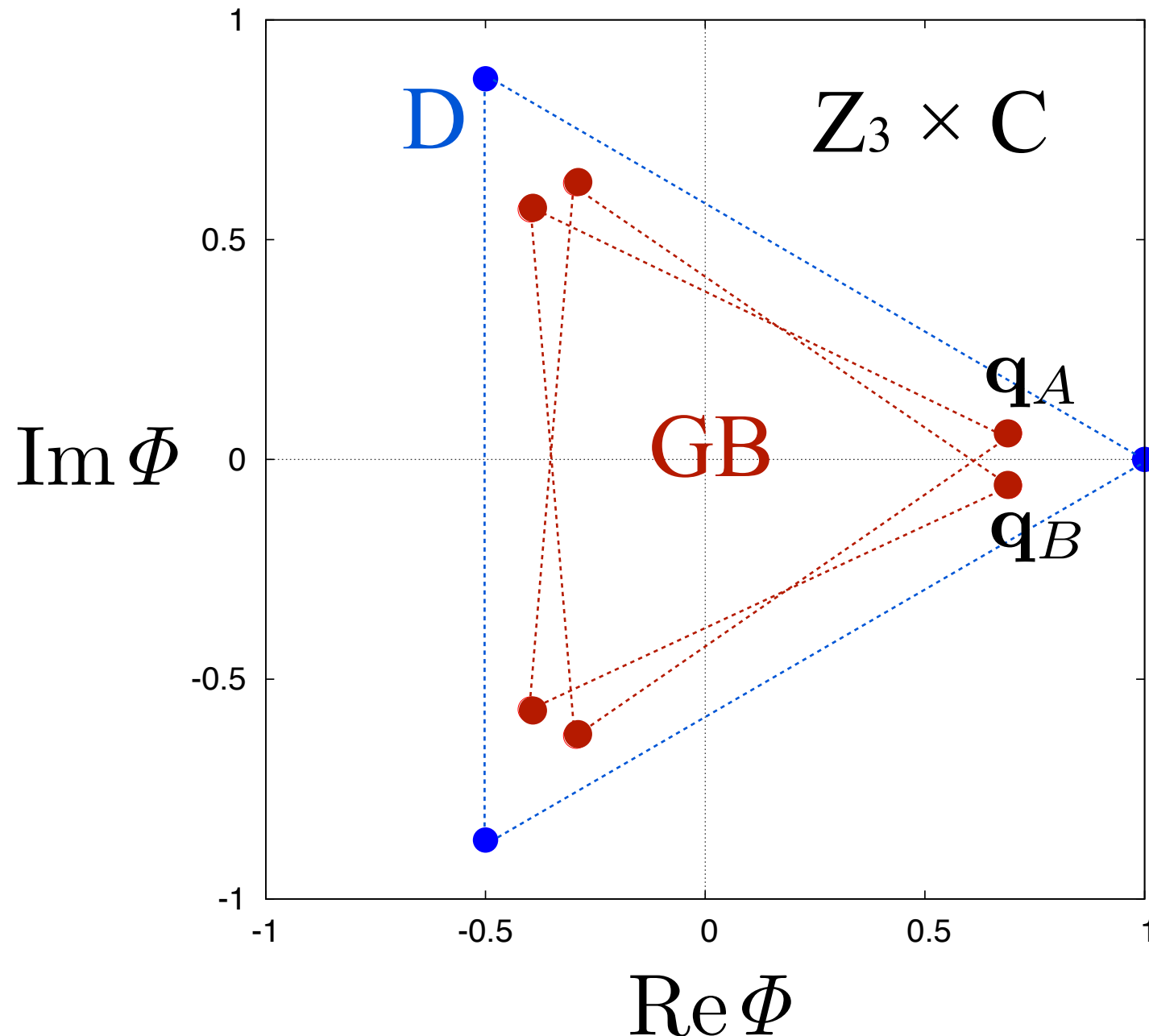


$$\begin{aligned}
 (q_1, q_2, q_3)_1 &= (\alpha/9, \alpha/9, -2\alpha/9), \\
 &\quad ((\alpha + 3)/9, (\alpha + 3)/9, (3 - 2\alpha)/9), \\
 &\quad (-(3 - \alpha)/9, -(3 - \alpha)/9, (6 - 2\alpha)/9) \\
 (q_1, q_2, q_3)_2 &= -(\alpha/9, \alpha/9, -2\alpha/9), \\
 &\quad -((\alpha + 3)/9, (\alpha + 3)/9, (3 - 2\alpha)/9), \\
 &\quad -(-(3 - \alpha)/9, -(3 - \alpha)/9, (6 - 2\alpha)/9)
 \end{aligned}$$

**$SU(3)$  broken only to  $SU(2) \times U(1)$  !**

$$q_1 = q_2 \neq q_3$$

# Polyakov loop distribution



- Six possible vacua in GB phase
- They are paired as
 
$$(q_1, q_2, q_3)_A = -(q_1, q_2, q_3)_B$$

$$(A_\mu \rightarrow -A_\mu, \text{Im}\Phi \rightarrow -\text{Im}\Phi)$$
- Can be interpreted as C pairs.

↑  
FTBC is flavor-imaginary-chemical potential.

Charge conjugation is also spontaneously broken !

# Summary

1. Rich phase structure with SGSB in gauge theory on compactified space with PBC.
2. Fundamental flavors with PBC works to enhance  $SU(2) \times U(1)$  phase.
3. Fund. fermions with FTBC also leads to  $SU(2) \times U(1)$  SGSB.
4. Specific chiral properties.

*Fusion topic between In-medium QCD and BSM !*

# Future works

- Further lattice study 4D to check our results.
- Lattice study for 5D as cutoff theory.
- Application of FTBC to BSM, QCD....

## Elitzur's theorem

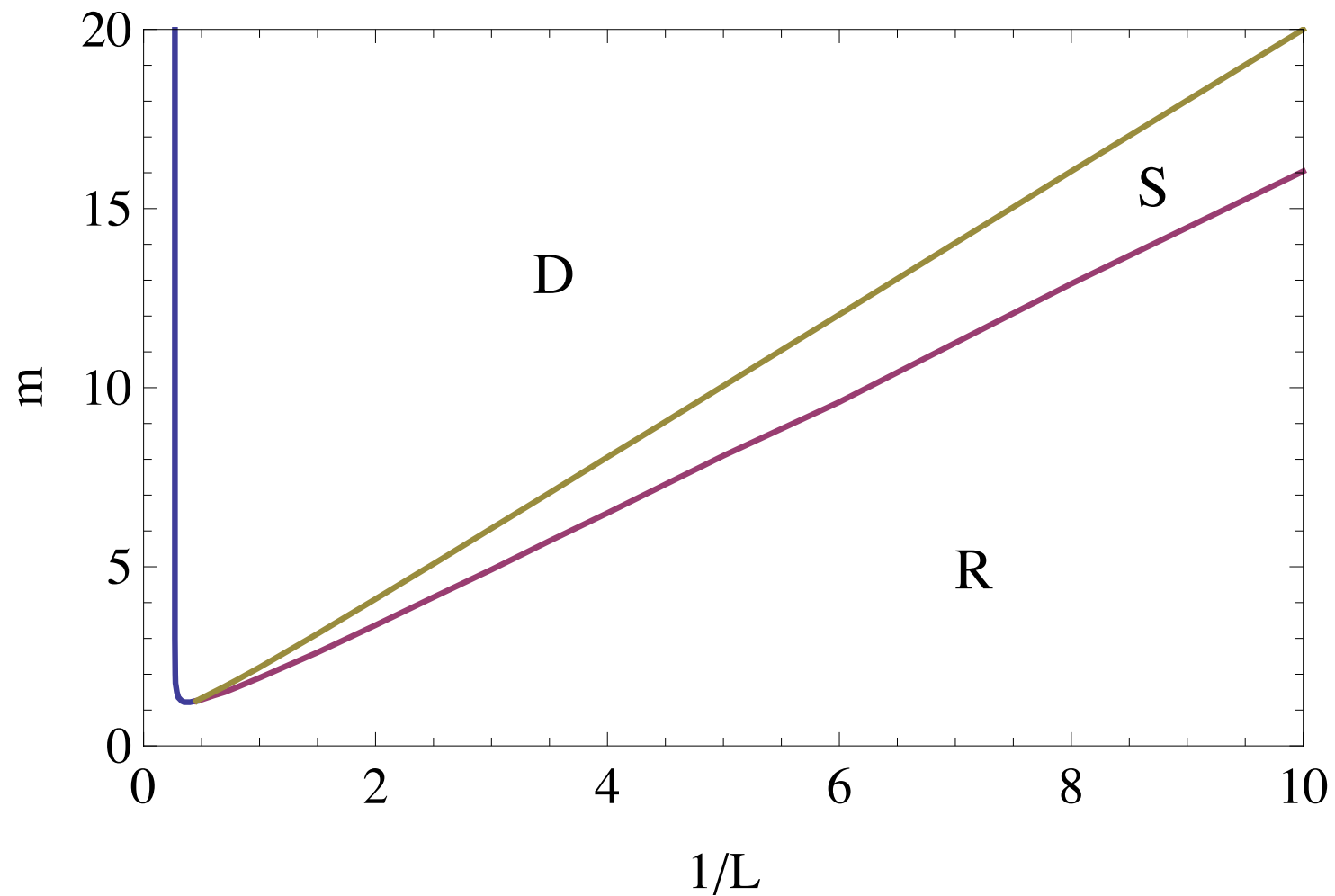
$\langle A \rangle = 0$  on the lattice

$\langle P \rangle \neq 0$  on the lattice

- DGSB by Hosotani mechanism is topological phenomenon.
- Can be indirectly observed from Gauge-invariant quantity.

# SU(3) adj. with non-perturbative deformation

$$\mathcal{V}_g^{\text{np}} = -\frac{2}{L^4\pi^2} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N}\delta_{ij}\right) \frac{\cos(2n\pi q^{ij})}{n^4} + \frac{M^2}{2\pi^2 L^2} \sum_{i,j=1}^N \sum_{n=1}^{\infty} \left(1 - \frac{1}{N}\delta_{ij}\right) \frac{\cos(2n\pi q^{ij})}{n^2}$$



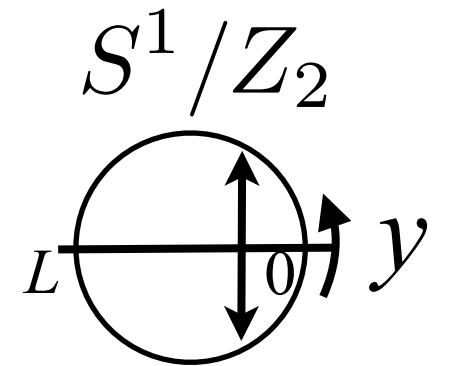
# Orbifold and chiral fermions

orbifold B.C.

$$\begin{pmatrix} A_\mu \\ A_y \end{pmatrix}_{x,-y} = P_0 \begin{pmatrix} A_\mu \\ -A_y \end{pmatrix}_{x,y} P_0^\dagger$$

$$\begin{pmatrix} A_\mu \\ A_y \end{pmatrix}_{x,L-y} = P_1 \begin{pmatrix} A_\mu \\ -A_y \end{pmatrix}_{x,L+y} P_1^\dagger$$

Symmetry breaking by  $(P_0, P_1)$



Chiral fermion

$$\psi(x, -y) = P_0 \gamma_5 \psi(x, y)$$

$$\psi(x, L - y) = P_1 \gamma_5 \psi(x, L + y)$$



# EW theory

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$$

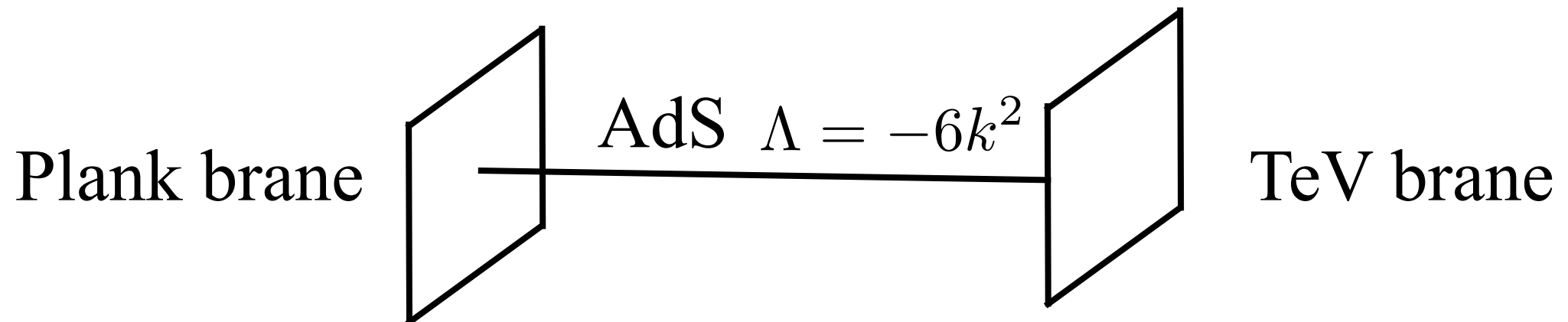
Higgs:  $SU(2)$  doublet  $\in A_y$

$$G \xrightarrow{(P_0, P_1)} SU(2) \times U(1) \xrightarrow{\theta_H \neq 0} U(1)$$

e.g.)  $SU(3)$

$$P_0 = P_1 = \begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix} \quad A_\mu = \begin{pmatrix} \text{SU(2) } 3 \times 3 \text{ box} & \\ & \text{U(1) } 1 \times 1 \text{ box} \end{pmatrix} \quad A_y = \begin{pmatrix} & \text{Higgs } 1 \times 1 \text{ box} \\ \text{Higgs } 1 \times 1 \text{ box} & \end{pmatrix}$$

# SO(5)×U(1) gauge-higgs unification in RS



Brane fermions  
Brane scalars

SO(5)×U(1)

W, Z,  $\gamma$

Higgs

$$P_0 = P_1 = \begin{pmatrix} -1 & & & & \\ & -1 & & & \\ & & -1 & & \\ & & & -1 & \\ & & & & +1 \end{pmatrix}$$

$$A_\mu = \begin{pmatrix} \text{4x4 blue box} & \\ & \text{1x1 blue box} \end{pmatrix}$$

$$A_y = \begin{pmatrix} & \phi_1 \\ & \phi_2 \\ & \phi_3 \\ & \phi_4 \\ \text{1x4 blue box} & \end{pmatrix}$$

$$(P_0, P_1) \quad \text{SO}(5) \rightarrow \text{SO}(4) \sim \text{SU}(2) \times \text{SU}(2)$$

$$\begin{aligned} \text{Brane scalars} \quad \text{SO}(4) \times \text{U}(1) &\rightarrow \text{SU}(2) \times \text{U}(1) \\ \theta_H \neq 0 &\rightarrow \text{U}(1) \end{aligned}$$